Substitute for form 1449/PTO Complete if Known Application Number 10/075,194 **FOURTH SUPPLEMENTAL** February 12, 2002 Filing Date INFORMATION DISCLOSURE First Named Inventor Klimenty VAINSTEIN STATEMENT BY APPLICANT Art Unit 2135 (Use as many sheets as necessary) **Examiner Name** Klimach, Paula W. Sheet of 12 Attorney Docket Number 2222.5390003

			U.S. PATENT DO	DCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
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	US5	4,888,800	12-19-1989	Marshall et al.	
	US6	4,972,472	11-20-1990	Brown et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
		Country Code ² Number ⁴ Kind Code ⁵ (if known)			Relevant Passages or Relevant Figures Appear	T^6
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	FP2	EP 1 107504 A2	06-13-2001	Benayoun et al.		
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	FP5					
	FP6					
	FP7					
	FP8					
	FP9					

Examiner Signature	Date Considered	

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Substitute 1	Substitute for form 1449/PTO			Complete	if Known
EOUB.	ты с	IIDDI	EMENTAL	Application Number	10/075,194
				Filing Date	February 12, 2002
			ISCLOSURE	First Named Inventor	Klimenty VAINSTEIN
			APPLICANT	Art Unit	2135
	(Use as many sheets as necessary)			Examiner Name	Klimach, Paula W.
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			U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
		Number-Kind Code ^{2 (fr Known)}			or Relevant Figures Appear
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	FP10					
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Signature	Considered	

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STAT			APPLICANT	Art Unit	2135
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	US42	6,145,084	11-07-2000	Zuili	
	US43	6,185,684 B1	02-06-2001	Pravetz et al.	
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	FP19					
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Substitute for form 1449/PTO Complete if Known Application Number 10/075,194 **FOURTH SUPPLEMENTAL** February 12, 2002 Filing Date INFORMATION DISCLOSURE Klimenty VAINSTEIN First Named Inventor STATEMENT BY APPLICANT Art Unit 2135 (Use as many sheets as necessary) Klimach, Paula W. **Examiner Name** Sheet of 12 Attorney Docket Number 2222.5390003

			U.S. PATENT DO	CUMENTS	
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Initials*	No.1	Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
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EOHE	тце	HODE	EMENTAL	Application Number	10/075,194	
				Filing Date	February 12, 2002	
			DISCLOSURE	First Named Inventor	Klimenty VAINSTEIN	
STAT			APPLICANT	Art Unit	2135	
(Use as many sheets as necessary)			eets as necessary)	Examiner Name	Klimach, Paula W.	
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	U.S. PATENT DOCUMENTS Publication Data Name of Patents and Data Page Column Line									
Examiner Initials	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,					
	No.	Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear					
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	FP37					
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	_	_		Filing Date	February 12, 2002
		-	DISCLOSURE	First Named Inventor	Klimenty VAINSTEIN
STAT	EME	NT BY	APPLICANT	Art Unit	2135
	(Use as many sheets as necessary)			Examiner Name	Klimach, Paula W.
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	U.S. PATENT DOCUMENTS								
	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,				
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	FP55					<u></u>
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	INFORMATION DISCLOSURE			First Named Inventor	Klimenty VAINSTEIN	
			APPLICANT	Art Unit	2135	
(Use as many sheets as necessary)			eets as necessary)	Examiner Name	Klimach, Paula W.	
Sheet	8	of	12	Attorney Docket Number	2222.5390003	

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
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	FP64					
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	FP72					

Examiner	Date				
Signature	Considered				
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Substitute for form 1449/PTO Complete if Known Application Number 10/075,194 FOURTH SUPPLEMENTAL Filing Date February 12, 2002 INFORMATION DISCLOSURE Klimenty VAINSTEIN First Named Inventor STATEMENT BY APPLICANT Art Unit 2135 (Use as many sheets as necessary) **Examiner Name** Klimach, Paula W. Sheet of 12 Attorney Docket Number 2222.5390003

			U.S. PATENT DO	CUMENTS	
Examiner	Cite		Publication Date	Name of Patentee or	Pages, Columns, Lines,
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
	FP73							
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Substitute for form 1449/PTO	Complete	if Known
FOURTH SUPPLEMENTAL	Application Number	10/075,194
	Filing Date	February 12, 2002
INFORMATION DISCLOSUR	First Named inventor	Klimenty VAINSTEIN
STATEMENT BY APPLICAN	T Art Unit	2135
(Use as many sheets as necessary)	Examiner Name	Klimach, Paula W.
Sheet 10 of 12	Attorney Docket Number	2222.5390003

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Substitute	for form	1449/PT	0	Complete if Known		
EOLIB	FOURTH SUPPLEMENTAL	EMENITAL	Application Number	10/075,194		
				Filing Date	February 12, 2002	
INFORMATION DISCLOSURE				First Named Inventor	Klimenty VAINSTEIN	
STAT			APPLICANT	Art Unit	2135	
(Use as many sheets as necessary)			ets as necessary)	Examiner Name	Klimach, Paula W.	
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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,		
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EOUB	FOURTH SUPPLEMENTAL		Application Number	10/075,194	
			Filing Date	February 12, 2002	
		DISCLOSURE	First Named Inventor	Klimenty VAINSTEIN	
STAT		BY APPLICANT	Art Unit	2135	
	(Use as many:	sheets as necessary)	Examiner Name	Klimach, Paula W.	
Sheet	12 of	12	Attorney Docket Number	2222.5390003	

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Signature	1	Considered	

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Substitute for form 1449/PTO				Con	nplete if Known
FOURTH SUPPLEMENTAL				Application Number	10/075,194
	INFORMATION DISCLOSURE		Filing Date	February 12, 2002	
	_		· - - - · · ·	First Named Inventor	Klimenty VAINSTEIN
	STATEMENT BY APPLICANT			Art Unit	2135
(Use as many sheets as necessary)		Examiner Name	Klimach, Paula W.		
Sheet	2	of	5	Attorney Docket Number	2222.5390003

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
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Examiner	Date	
Signature	Considered	
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Substitute for	form 1449/PTC)	Complete if Known		
EOUDTL	a empor	EMENTAL	Application Number	10/075,194	
			Filing Date	February 12, 2002	
		ISCLOSURE	First Named Inventor	Klimenty VAINSTEIN	
		APPLICANT	Art Unit	2135	
(Use as many sheets as necessary)			Examiner Name	Klimach, Paula W.	
Sheet	3	of 5	Attorney Docket Number	2222.5390003	

		NON PATENT LITERATURE DOCUMENTS	
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	NPL24	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL25	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	:
	NPL26	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL27	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL28	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL29	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL30	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	

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EOUDTL	a embo	- EN4E	ENITAI	Application Number	10/075,194	
FOURTH				Filing Date	February 12, 2002	
-	_		LOSURE	First Named Inventor	Klimenty VAINSTEIN	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				Art Unit	2135	
				Examiner Name	Klimach, Paula W.	
Sheet	4	of	5	Attorney Docket Number	2222.5390003	

-		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*			
	NPL31	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL32	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
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	NPL36	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL37	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL38	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL39	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL40	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

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FOURTH	a etinni		NTAL	Application Number	10/075,194	
				Filing Date	February 12, 2002	
			LOSURE	First Named Inventor	Klimenty VAINSTEIN	
STATEM			_	Art Unit	2135	
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Sheet	5	of	5	Attorney Docket Number	2222.5390003	

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	NPL41	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	
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	NPL48	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.	
	NPL49	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.	
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